

Rutland Local Plan Review

Initial Sustainability Appraisal

Issues and Options



Rutland
County Council

November 2015

Rutland Local Plan Review

Initial Sustainability Appraisal Report – Issues and Options

Rutland Local Plan Review

Initial Sustainability Appraisal Report – Issues and Options

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1 Local Plan

- 1.1 The Local Plan Review Issues and Options is the first stage in preparing the review of the Rutland Local Plan in which the Council is seeking views on a range of issues and options to help prepare the document.

2 Purpose of the Report

- 2.1 This report accompanies the Local Plan Review Issues and Options document. The purpose of this report is to set out the findings of an Initial Sustainability Appraisal (SA) of the options set out in that document.

- 2.2 The remainder of this report is structured as set out below:

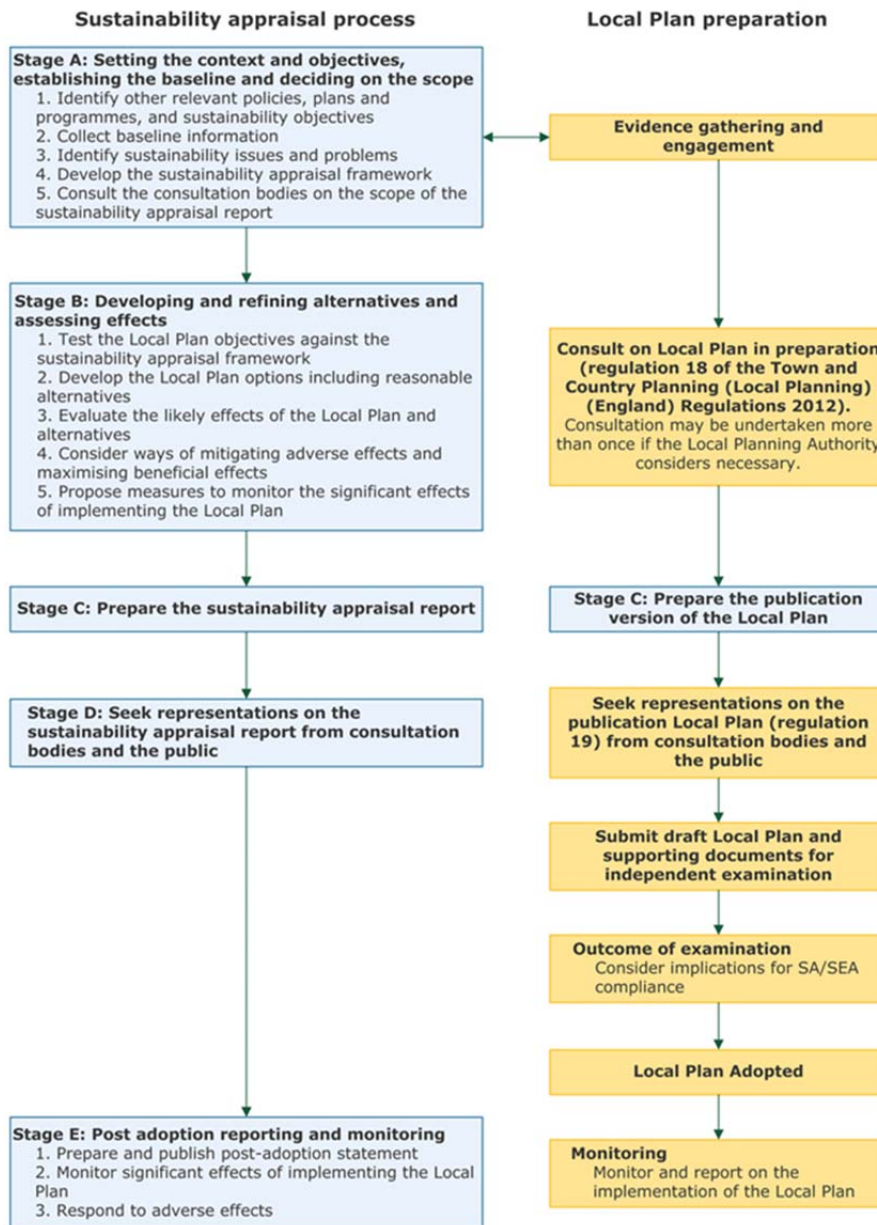
- Stages of the Sustainability Appraisal
- Conclusions of the Initial Sustainability Appraisal
- Next Stages

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3. Stages of a Sustainability Appraisal

The Sustainability Appraisal and Strategic Environmental Assessment Process



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Stage A: Setting the Context and Objectives, Establishing the Baseline and Deciding on the Scope

Stage A: Setting the Context and Objectives, Establishing the Baseline and Deciding on the Scope
A1: Identifying other relevant policies, plans and programmes, and sustainability objectives
A2: Collecting baseline information
A3: Identifying sustainability issues and problems.
A4: Developing the SA framework.
A5: Consulting on the scope of the SA.

- 3.1 Stage A has already been completed for the Local Plan Issues and Options as set out in the Local Plan Review Baseline & Scoping Report (July 2015). This was based on Scoping Studies that were carried out for the Council in September 2006, July 2010 and June 2011.
- 3.2 The Baseline and Scoping Report is the first part of the process that examines other plans, programmes and strategies and key baseline data in order to identify key sustainability issues and establish the objectives for the SA set out in **Appendix 1**.

Stage B: Developing and Refining Options and Assessing Effects

Stage B: Developing and Refining Options and Assessing Effects
B1: Testing the Local Plan objectives against the SA framework.
B2: Developing the Local Plan options.
B3: Evaluating the likely effects of the Local Plan and alternatives
B4: Evaluating the effects of the DPD.
B5: Considering ways of mitigating adverse effects and maximising beneficial effects.
B6: Proposing measures to monitor the significant effects of implementing the Local Plan.

- 3.3 This initial SA report is the result of work that has been undertaken for Stage B of the government guidance and involves appraisal of the emerging options of the Local Plan Review.
- 3.4 There are parts of this stage which the Council will undertake later and set out in the SA Report. It is also likely that elements of Stage B will be carried out more than once in the course of the DPD's development. This stage so far has involved:

B1: Testing the Local Plan Review objectives against the SA framework

- 3.5 Stage B1 (**Appendix 3**) is about testing the compatibility of the Local plan Review objectives set out in **Appendix 2** with the Sustainability Objectives set out in **Appendix 1**, to ensure that objectives of the Local Plan Review are generally in accordance with the principles of sustainability.
- 3.6 The compatibility assessment confirms general consistencies between the two sets of objectives. The results indicate that the overall compatibility

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between the Local Plan Review objectives and the SA objectives is relatively good.

- 3.7 The compatibility assessment has identified some inconsistencies between the economic and environmental sets of objectives; in particular the plan objectives in building Rutland's economy and infrastructure have the potential to conflict with sustaining Rutland's environment.
- 3.8 As such, appropriate mitigation measures may need to be identified and promoted, e.g. increasing accessibility by alternative modes to the car, and use of renewable energy and energy efficiency measures.

B2: Developing and assessing the Local Plan options

- 3.9 The Local Plan Review consultation document discusses various issues including housing, employment, retail, open space, design and built and natural environment. It also puts forward alternative approaches for addressing the issues.
- 3.12 This stage has involved undertaking an assessment of the options in the Local Plan consultation document against the draft SA objectives, set out in **Appendix 1**. The Local Plan has been reviewed for the following reasons:
- To extend the plan period to 2036 in order to ensure that there will be a 15 year time horizon as recommended in the National Planning Policy Framework (NPPF);
 - To provide for the additional new housing, employment and other development that will be required to meet future needs over the extended plan period;
 - To bring the plan up to date and to reflect new issues and that have arisen since adoption of the Council's current Development Plan Documents.
 - To reflect changes to national planning policy and guidance
 - To combine a number of existing Development Plan Documents into a single Local Plan as recommended by the NPPF.
 - To take in to account the preparation of a number of neighborhood plans in Rutland.
- 3.13 The options have been compared against the sustainability framework, to identify potential sustainability effects associated with each. Only those options which have a direct relationship with the SA objectives have been assessed.
- 3.14 The purpose of the B2 assessment is to assess the broad sustainability implications of each option so that meaningful comparisons can be made. The results of this assessment are set out in **Appendix 4**.

Stages B3 – B6

- 3.16 The remainder of Stage B of the SA process will be undertaken following consultation on the Issues and Options and prior to consultation on the Local Plan Preferred Options. This will, where possible and appropriate, add more

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detail to the appraisal process which will help inform decisions about which options to take forward.

- 3.19 Furthermore, at this continuing Stage B and in preparation of Stage C, the findings of consultation and participation on the Issues and Options will need to be taken into account in the preparation of the Preferred Options.
- 3.20 The Preferred Options should be appraised, involving prediction of the effects of each option and also assessing the significance of the effect e.g. its scale and permanence. Where adverse effects are likely, possibilities for mitigation should be considered along with proposals for monitoring. The draft Sustainability Report will be prepared during this stage for the Local Plan DPD.

Stage C: Preparing the Sustainability Appraisal Report

Stage C: Preparing the Sustainability Appraisal Report

C1: Preparing the SA Report.

- 3.21 This report represents an initial SA Report, incorporating the requirements of stages B1 – B2, and based on Stage A of the SA process.
- 3.22 Stage C of the SA process will involve the preparation of a draft SA report to accompany the Local Plan Review Preferred Options, during its preparation and subsequent DPD stages through to adoption, and subsequent finalisation of the SA Report. The SA Report must meet the requirements of the SEA Directive for an Environment Report. Information required by the Directive will be clearly sign posted.

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4 Conclusions of the Initial Sustainability Appraisal

Local Plan Objectives

- 4.2 The results indicate that the overall compatibility between the Local Plan Objectives and the SA Objectives is relatively good. The compatibility assessment has identified some inconsistencies between the economic and environmental sets of objectives; in particular the plan objectives in building Rutland's economy and infrastructure have the potential to conflict with sustaining Rutland's environment.

Local Plan Options

- 4.1 For each of the options appraised in Appendix 4, a conclusion has been included where it explains the outcomes of the assessment of the options against the SA objectives.
- 4.2 In many instances at this initial SA stage, there are a number of unknowns depending on how the options will be implemented. It is likely that through consultation, other options will be suggested that will need to be taken into consideration and may be subject to SA in order to inform the decision-making process.
- 4.3 Where Policy options have been put forward they move favourably towards the achievement of SA objectives as opposed to where no policy is proposed.
- 4.4 Where the policy option put forward is to rely on the existing Policy it moves favourably towards the achievement of the SA objectives. However, the overall outcomes are often inconclusive when compared against options which include a policy with additional criteria. This is largely because no detailed criteria has been put forward to assess and will depend on how the option for an additional policy is implemented. The conclusions from the assessment of each option are set out below:

Question 1: How should the Local Plan Review play a coordinating role in the preparation of neighbourhood Plans?

- 4.5 Continuing the current approach, Option A, of showing an overall figure for the amount of development across the Local Service Centres would continue to direct growth to the most sustainable locations, as would Option B, however, Option A allows for more flexibility. Specifying the amount of development in just those Local Service Centres with a Local Plan, may lead to more unsustainable development within the Local Service Centres without a Neighbourhood Plan. Option D provides for another option which results in the majority of the scores being unknown and dependent on how the option is implemented.

Question 2: Do you agree with the spatial portrait, objectives and vision as set out in the Council's current development plan documents?

- 4.6 The Spatial Portrait provides a baseline for the Local Plan Issues & Options, identifying social, economic and environmental characteristics of the Borough at present.

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The information and statistics included in the Spatial Portrait are out of date and require updating. This will consequently have a knock on affect to the Vision & Objectives. The Spatial Portrait does not specifically refer to elements within the environmental SA objectives, and as such they are scored as 'unknown'.

4.7 Whilst the Local Plan Objectives generally accord with that of the SA, they do not directly refer to a number of environmental SA objectives, and as such, they are scored as unknown.

4.8 Whilst the Vision generally accords with the SA, it may require updating due to the identified required update of the Spatial Portrait. At present, the Local Plan Vision does not directly refer to a number of the environmental SA objectives, and as such, they are scored as unknown.

Question 3: Do you agree with the proposed grouping of villages in the settlement hierarchy in terms of the services and facilities available in those villages?

4.9 The Settlement Hierarchy provides a basis for establishing the most sustainable locations of growth, and as such, none of the options move away from the achievement of the sustainability objectives.

4.10 The Local Service Centres are the larger villages with a range of facilities and access to transport. Option B includes 12 of the villages with the highest sustainability ratings to be included within the Local Service Centre categories, which may result in more development being distributed to those settlements. This could lead to a more sustainable dispersal of development amongst a higher number of villages. However, it could also lead to a higher level of development being directed to villages which are not as sustainable as those in the category with a larger range of facilities and better access to transport. Both Options are consequently scored equally.

4.10 Option C provides for another option which results in the majority of the scores being unknown and dependent on how the option is implemented.

Question 4: How much new housing should the Local Plan Review Provide for over the next 21 years 2015-2036?

4.11 Whilst Option A & B could both lead to meeting Rutland's housing needs, providing for a lower level of growth could result in moving away from the social sustainability objective of achieving a housing stock which meets the needs of Rutland.

Question 5: Do you consider that any additional sites for employment, retail or other types of development should be allocated in the Local Plan Review?

4.12 Allocating additional employment, retail and other sites move towards the economic objectives, if such additional land was required, but how this would affect the environmental and social objectives would depend on how the option was implemented. Not allocating additional sites results in a score of

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unknown for the economic objectives; and neutral for the social and environmental parameters.

Question 6: How should the future mix of new housing in Rutland be planned?

- 4.13 Option A1, specifying in detail the mix of dwellings types, sizes and tenures (including specialist provision) across Rutland including a requirement for affordable housing, could ensure that the housing stock will meet Rutland's needs. This option consequently scores positively with regard to Objective 5, achieving a housing stock which meets the needs of Rutland.
- 4.14 Option B1 aims to specify in broad terms the mix of dwelling types. Whilst this could lead to flexibility in how the housing stock is delivered over time, it could also move away from the sustainability objective and as such, objective 5 has been scored as unknown.
- 4.15 Not specifying housing mix (Option C1), again allows for flexibility, taking account of the market, but has been scored as potentially moving away from SA 5 as it could lead to a stock that does not meet Rutland's needs.

Question 7: Do you agree that the distribution of growth between the towns and villages should: Option A: Maintain the current apportionment of new development between the towns and villages? Option B: Provide for a higher proportion of growth at Oakham? Option C: Provide for a higher proportion of growth at Uppingham? Option D: provide for a higher level of growth at the Local Service Centres? Another Option

- 4.16 The current apportionment of new development does generally move towards the achievement of objectives, where relevant. However, Option D does not score favourably due to the two larger towns proving to be more sustainable locations than the Local Service Centres. As the 'Other Options' are unknown, this option would score as 'unknown' as it would depend on what the options are, and how they would be implemented.

Question 8: Do you agree that the distribution of new development between Uppingham should be? Option A: Maintain the current apportionment of new development between Oakham and Uppingham; Option B: Provide for higher growth at Oakham; Option C: Provide for higher level growth at Uppingham. Another Option?

- 4.17 Option A, maintaining the current apportionment of new development between Oakham and Uppingham scores well for sustainability. Whilst Oakham is considered the Main Town which can support a higher level of growth, Uppingham as the smaller town is also able to accommodate growth and as such, current apportionment of new growth between Oakham and Uppingham (Option A) scores well. Options B & C score less favourably as Uppingham. Whilst Oakham would be able to sustainably accommodate a

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higher level of growth, apportionment between Oakham and Uppingham, as the smaller town, would be the more sustainable option. As the 'Other Options' are unknown, this option would score as 'unknown' as it would depend on what the options are, and how they would be implemented.

Question 9: Which are the most suitable directions for growth in and around Oakham?

- 4.18 Previously developed land and buildings within the built up area of the town (Option 1) scores well, moving towards sustainability with regard to the use of natural resources. Due to the rest of the proposed locations being in and around the town, they score equally with regards to sustainability.

Question 10: Should future growth at Uppingham continue to be focused on allocated sites to the north and west of the town?

- 4.19 Continuing to focus growth on allocated sites to the north and west of town scores favourable with regard to achieving a housing stock to meet Rutland's needs. It also has the potential to deliver high quality employment opportunities and infrastructure. How other options score would be dependent on the option and how they were implemented.

Question 11: Do you agree with the proposed approach to providing for a steady and adequate supply of minerals by:

- *Identifying a provision rate for limestone of 0.19 Mtpa based on the average aggregate sales for the most recent ten year rolling period (2004-2013).*
- *Maintaining a sufficient stock of permitted reserves for limestone and clay in order to supply the Cement Works at Ketton at the existing output of 1.4Mt of cement production per annum*
- *Not identifying a provision rate for other forms of mineral extraction and aggregate production?*

- 4.20 Option A, identifying the provision to be made for the proposed minerals, scores well against sustainability objective 4, facilitating delivery and safeguarding mineral resources. Assessment against objective 19, positive restoration will depend on how the policy is implemented. Option B is assessed as unknown, as it would depend on the other method identified.

Question 12 Do you agree with the proposed approach that would see the current spatial strategy and locational elements taken forward into the Local Plan Review (including the designated areas for future minerals extraction and area of search); the development criteria being combined into fewer policies and refining these to also address minerals specific planning requirements (where appropriate); and continuing with the approach of not including site-specific allocations.

- 4.21 Option A scores generally scores well against the sustainability objectives, this is because the spatial strategy does not itself allocate sites for development and as such is neutral regarding many of the sustainability objectives. In addition the development criteria looks to avoid and/or minimise

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potentially adverse impacts associated with minerals-related development and supports restoration.

- 4.22 As the impact of Option B is largely unknown, and as such most of the effects would be unknown. The effects of Option B would be subject to its future development/implementation.

Question 13: Do you consider that any additional sites for mineral extraction and aggregate production need to be allocated to ensure a steady and adequate supply of aggregates.

- 4.23 Option A scores well against sustainability objective 12, as the identification of potential sites for building and roofing stone would support local distinctiveness.
No additional potential sites for mineral extraction for allocation through the Local Plan Review have yet been identified at this stage of the plan-making process.

Question 14 Do you agree with the proposed approach to be taken to safeguarding of mineral resources and related development that would see the Minerals Safeguarding Area (MSA) and planning requirements refined to address local circumstances (including identification of building stone resources) and align with national policy guidance.

- 4.24 Option A and B generally score well against sustainability objectives however Option B scores higher regarding sustainability objective 12 in particular as the inclusion of building stone resources in the currently adopted MSAs would support local distinctiveness. The effects of Option C are largely unknown and would be subject to the options further development and implementation where applicable.

Question 15: Do you agree with the proposed approach to identifying waste arisings and indicative waste management and disposal capacity requirements detailed in the Local Waste Management Needs Assessment 2015?

- 4.25 Option A scores well against sustainability objectives 14 and 18 as it seeks to push waste up the waste management hierarchy the effects of which would be a decrease in waste sent to landfill and associated production of greenhouse gas emissions.

Question 16: Do you agree that a new policy addressing LLW management and disposal outlining local planning requirements should be prepared for inclusion in the Local Plan?

- 4.26 Option A scores well against sustainability objective 14 as it would give greater guidance regarding management of LLW and allows for local circumstance, and sustainability issues, to be taken into consideration.

Question 17: Do you agree with the proposed approach to be taken to the spatial strategy and locational elements of the Local Plan regarding waste management and disposal which would see the current spatial strategy taken forward into the emerging Local Plan; the development criteria refined to

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reflect national policy and guidance where necessary and continuing with the approach of not including site-specific allocations for large scale advanced treatments facilities or inert disposal not associated with restoration of quarries.

- 4.27 Option A scores generally scores well against the sustainability objectives, this is because the spatial strategy does not itself allocate sites for development and as such is neutral regarding many of the sustainability objectives. In addition the development criteria looks to avoid and/or minimise potentially adverse impacts associated with waste-related development and supports restoration of quarries by directing the disposal of inert wastes to these sites.

Question 18: Do you consider that any additional sites for waste management use (in particular small scale facilities such as materials recycling facility, composting, anaerobic digestion, inert recycling/progressing or other suitable processes) will be required to facilitate delivery of the indicative waste management capacity requirements over the plan period.

- 4.18 Both options score well with regard to sustainability objectives.

Question 19: Is there any additional infrastructure that will be required to support the new development in Rutland that will be required in the period to 2036?

- 4.19 Option A scores favourably against the relevant objective. Not providing infrastructure as proposed in option B would not be the most sustainable option.

5.0 Next Stages

- 5.1 The Sustainability Appraisal process may lead to a number of scenarios in terms of the options for the Local Plan Review. The selection of preferred options may involve the wording of the options being changed or options may be combined. Some options may not be taken forward further.
- 5.2 The SA can only inform the Council's preferred options. Where the appraisal in the SA report does not clearly identify significant differences between options, or even where it does, there may be other contributory factors which will help the Council make decisions about preferred options such as responses to the Issues and Options consultation.

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Draft Sustainability Appraisal Objectives – Appendix 1

Draft SA objectives	
Objective 1:	To create high quality employment opportunities for all
Objective 2:	To encourage sustainable business formation and development in urban and rural areas
Objective 3:	To promote the infrastructure necessary to support economic growth and attract a range of business types
Objective 4:	Facilitate the delivery of a steady and adequate supply of minerals to support sustainable growth and safeguard mineral resources and related development from sterilisation and incompatible forms of development.
Objective 5:	To help achieve a housing stock that meets the needs of Rutland
Objective 6:	To improve access to health and social care provision and maintain good health standards
Objective 7:	To improve community safety and reduce crime
Objective 8:	To promote and support the development of community facilities in all areas particularly rural areas.
Objective 9:	To provide opportunities for people to value and enjoy Rutland's heritage and participate in cultural and recreational activities, whilst preserving and enhancing the environment.
Objective 10:	To conserve or enhance the historic environment, heritage assets and their settings.
Objective 11:	To increase biodiversity and geodiversity
Objective 12:	To protect and enhance the character, diversity and local distinctiveness of the natural environment and rural landscape of Rutland.
Objective 13:	To protect the natural resources of the region – including water, air & soil.
Objective 14:	To minimise waste, increase recycling and promote sustainable waste management.
Objective 15:	To minimise energy usage and promote the use of renewable energy sources.
Objective 16:	To reduce the adverse effects of traffic and improve transport infrastructure.

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Draft Sustainability Appraisal Objectives – Appendix 1

Objective 17:	To reduce the risk and impact of flooding.
Objective 18:	Reduce emissions of greenhouse gases that cause climate change and adapt to its effects.
Objective 19:	Progressively restore mineral development land seeking to maximise beneficial opportunities.

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The Plan Objectives – Appendix 2

Spatial strategy

Objective 1: Broad Locations for development – To identify broad locations for sustainable development that will give access for all the services and facilities, minimise the impact on climate change and need to travel and promote the efficient use of land while protecting the natural environment, landscape, the unique character and identity of the towns, villages and countryside.

Objective 2: Vibrant and prosperous market towns - To develop vibrant and prosperous market towns by encouraging sustainable development that supports their function as service centres with a range of good quality housing, jobs, businesses, shops and services that met the needs of local people and wider hinterland.

Objective 3: Diverse and thriving villages - To develop diverse and thriving villages by encouraging sustainable development where it supports the role of the larger villages as “service hubs” for the smaller villages and meets local needs in the smaller villages and maintains and improves their vitality and viability.

Creating sustainable communities

Objective 4: Housing for everyone’s needs - To ensure a range and mix of housing types to meet the needs of all the community that is adequately supported by new infrastructure, including affordable housing, special needs housing and Gypsies and Travellers.

Objective 5: Healthy and socially inclusive communities - To support healthy and thriving communities by protecting existing facilities and providing high quality local, accessible and diverse opportunities for leisure, recreation, sport, natural green space and cultural activities in order to address the needs of all groups in Rutland, including disadvantaged and vulnerable groups.

Objective 6: A stronger and safer community - To develop a stronger and safer community by designing out opportunities for crime and implementing measures to improve road safety to ensure that people can live, work and relax where they feel safe and enjoy a better quality of life.

Building our economy and infrastructure

Objective 7: Strong and diverse economy - To strengthen and diversify the local economy in order to provide a greater range and quality of employment opportunities locally and reduce commuting out of the county, including new high-tech knowledge-based, leisure and tourism industries.

Objective 8: Rural economy and communities - To support the rural communities by encouraging development opportunities related to the rural economy including farm and rurally based industries and promoting services and facilities in the larger local services and villages.

Objective 9: Sustainable transport - To develop integrated and sustainable forms of transport including better public transport, walking and cycling facilities.

Objective 10: Transport and infrastructure - To develop a strong and vibrant community by developing communication and transport infrastructure and links

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The Plan Objectives – Appendix 2

throughout the county and beyond.

Sustaining our environment

Objective 11: Natural and cultural environment - To safeguard and enhance the natural resources, landscape and countryside, cultural heritage and the diversity of wildlife and habitats, including green infrastructure and special protection for Rutland Water to improve our quality of life and make a full contribution to global sustainability.

Objective 12: Built environment and local townscape - To protect and enhance the built environment and open spaces, historic heritage and local townscape associated with the historic core of the market towns, listed buildings and conservation areas.

Objective 13: High quality design and local distinctiveness - To ensure that design of new development is of the highest quality to provide attractive and safe places to live, work and visit and reflects the local character, identity and distinctiveness of the towns and villages.

Objective 14: Resources, waste and climate change - To reduce the impact of people and development on the environment by sustainable design and construction, reducing pollution, encouraging the prudent uses of resources, including minerals, waste management and recycling, increased use of renewable energy and provision of green infrastructure and addressing the implications of flood risk and climate change.

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Testing the Plan Objectives Against the Sustainability Appraisal Framework – Appendix 3

Testing the Plan Objectives against the Sustainability Appraisal Framework

SA objectives	LOCAL PLAN REVIEW OBJECTIVES													
	Objective 1	Objective 2	Objective 3	Objective 4	Objective 5	Objective 6	Objective 7	Objective 8	Objective 9	Objective 10	Objective 11	Objective 12	Objective 13	Objective 14
Objective 1: To create high quality employment opportunities for all	✓✓	✓✓	✓✓	~	~	~	✓✓	✓✓	~	~	~	~	~	~
Objective 2: To encourage sustainable business formation and development in urban and rural areas	✓✓	✓✓	✓✓	~	~	~	✓✓	✓✓	~	~	~	~	~	~
Objective 3: To promote the infrastructure necessary to support economic growth and attract a range of business types.	✓✓	✓✓	✓✓	~	~	~	✓✓	✓✓	~	✓✓	~	~	~	~
Objective 4: Facilitate the delivery of a steady and adequate supply of minerals to support sustainable growth and safeguard mineral resources and related development from sterilisation and incompatible forms of development.	✓	✓	~	~	~	~	✓	✓	~	~	~	~	~	✓✓

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Testing the Plan Objectives Against the Sustainability Appraisal Framework – Appendix 3

SA objectives	LOCAL PLAN REVIEW OBJECTIVES													
	Objective 1	Objective 2	Objective 3	Objective 4	Objective 5	Objective 6	Objective 7	Objective 8	Objective 9	Objective 10	Objective 11	Objective 12	Objective 13	Objective 14
Objective 5: To help achieve a housing stock that meets the needs of Rutland.	✓✓	✓✓	✓✓	✓✓	✓✓	~	~	~	~	~	~	~	~	~
Objective 6: To improve access to health and social care provision and maintain good health standards.	✓	✓	~	✓	✓✓	~	~	~	✓✓	~	~	~	~	~
Objective 7: To improve community safety and reduce crime.	~	✓	✓	~	~	✓✓	✓	✓	~	~	~	~	✓	~
Objective 8: To promote and support the development of community facilities in all areas particularly rural areas.	✓✓	✓	✓	~	~	✓	~	~	~	✓	~	~	~	~

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Testing the Plan Objectives Against the Sustainability Appraisal Framework – Appendix 3

SA objectives	LOCAL PLAN REVIEW OBJECTIVES													
	Objective 1	Objective 2	Objective 3	Objective 4	Objective 5	Objective 6	Objective 7	Objective 8	Objective 9	Objective 10	Objective 11	Objective 12	Objective 13	Objective 14
Objective 9: To provide opportunities for people to value and enjoy Rutland’s heritage and participate in cultural recreational activities, whilst preserving and enhancing the environment.	✓	✓	~	~	✓	~	✓	~	✓	~	✓	✓	✓	~
Objective 10: To conserve or enhance the historic environment, heritage assets and their settings.	✓	✓	✓	~	~	~	~	x	~	x	✓ ✓	✓ ✓	✓	~
Objective 11: To increase biodiversity and geodiversity	✓	~	~	~	✓	~	~	~	~	~	✓ ✓	✓	~	~
Objective 12: To protect and enhance the character, diversity and local distinctiveness of the natural environment and rural landscape of Rutland.	✓	✓	✓	~	~	~	~	x	~	x	✓ ✓	✓ ✓	✓	~

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Testing the Plan Objectives Against the Sustainability Appraisal Framework – Appendix 3

SA objectives	LOCAL PLAN REVIEW OBJECTIVES													
	Objective 1	Objective 2	Objective 3	Objective 4	Objective 5	Objective 6	Objective 7	Objective 8	Objective 9	Objective 10	Objective 11	Objective 12	Objective 13	Objective 14
Objective 13: To protect the natural resources of the region – including water, air and soil.	xx	xx	xx	xx	~	~	xx	xx	~	~	✓	✓	✓	✓
Objective 14: To minimise waste, increase recycling and promote sustainable waste management.	✓	✓	~	~	~	~	✓	✓	~	~	~	~	~	✓
Objective 15: To minimise energy usage and promote the use of renewable energy sources.	✓	✓	✓	✓	~	~	~	~	✓	✓	~	~	✓	✓
Objective 16: To reduce the adverse effects of traffic and improve transport infrastructure.	✓	✓	x	~	~	✓	x	x	✓	✓	~	~	~	~
Objective 17: To reduce the risk and impact of flooding.	✓	~	~	x	~	~	~	~	~	~	✓	✓	✓	✓

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Testing the Plan Objectives Against the Sustainability Appraisal Framework – Appendix 3

SA objectives	LOCAL PLAN REVIEW OBJECTIVES													
	Objective 1	Objective 2	Objective 3	Objective 4	Objective 5	Objective 6	Objective 7	Objective 8	Objective 9	Objective 10	Objective 11	Objective 12	Objective 13	Objective 14
Objective 18: Reduce emissions of greenhouse gases that change and adapt to its effects.	✓	x	x	x	~	~	x	x	✓	xx	~	~	✓	✓ ✓
Objective 19: Progressively restore mineral development, land seeking to maximise beneficial opportunities	✓	~	~	✓	~	~	~	~	~	~	✓ ✓	~	~	✓ ✓
Conclusion	<p>This table sets out a matrix identifying the compatibility of the draft Local Plan objectives against the draft Sustainability Objectives as set out in the SA framework. The aim of this process is to help refine the objectives of the draft Local Plan where necessary, and identify potential areas of conflict which need to be addressed.</p> <p>The results indicate that the overall compatibility between the Local Plan Objectives and the SA Objectives is relatively good. The compatibility assessment has identified some inconsistencies between the economic and environmental sets of objectives; in particular the plan objectives in building Rutland's economy and infrastructure have the potential to conflict with sustaining Rutland's environment.</p> <p>This exercise is valuable when carrying out the appraisal as it identifies areas where objectives need to be balanced to ensure outcomes are consistent and where possible achieve a win-win situation.</p>													

Local Plan Review

Testing the Plan Objectives Against the Sustainability Appraisal Framework – Appendix 3

Key	
✓✓	Highly compatible
✓	Potentially compatible
✘✘	Highly incompatible
✘	Potentially incompatible
~	No impact

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Initial Sustainability Appraisal – Appendix 4

Local Plan Review Issues and Options Initial Sustainability Appraisal

Key:

↑	Option potentially moving towards achievement of Sustainability Appraisal objective
↓	Option potentially moving away from achievement of Sustainability Appraisal objective
↔	Neutral: no relationship with Sustainability Appraisal objective
↕	Unknown: depends on how option will be implemented

Sustainability Appraisal Objective	Question 1: How should the Local Plan Review play a coordinating role in the preparation of neighbourhood plans?							
	Option A: Continue the current approach showing an overall figure for the amount of development to be accommodated across the Local Service Centres		Option B: The Local Plan Review to specify the amount of development to be accommodated in each of the Local Service Centres		Option C: The Local Plan to specify the amount of development to be accommodated in each of the Local Service Centres where there is a current or proposed neighbourhood plan and an overall figure for the remaining Local Service Centre?		Option D: Another Option? (Please specify with reasons)	
	Effect	Comment/mitigation	Effect	Comment/mitigation	Effect	Comment/mitigation	Effect	Comment/mitigation
Objective 1	↑	Sustainably located development could contribute to creating high quality employment opportunities for all.	↑	Sustainably located development could contribute to creating high quality employment opportunities for all.	↓	This may encourage the creation of high quality employment opportunities within the Neighbourhood Plan areas but not necessarily within the other Local Service Areas.	↓	
Objective 2	↑	Sustainably located development could	↑	Sustainably located development could	↓	This may encourage sustainable business	↓	

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		encourage sustainable business formation and development.		encourage sustainable business formation and development.		formation and development in the areas with a NP but not necessarily within the other Local Service Areas.		
Objective 3	↑		↑		↓		↓	
Objective 4	↔		↔		↔		↓	
Objective 5	↑	Sustainably located development would help achieve a housing stock that meets the needs of Rutland	↑	Sustainably located development would help achieve a housing stock that meets the needs of Rutland	↓		↓	
Objective 6	↔		↔		↔		↔	
Objective 7	↓	Good design could lead to improvement in community safety and reduce crime.	↓	Good design could lead to improvement in community safety and reduce crime.	↓	Good design could lead to improvement in community safety and reduce crime.	↓	
Objective 8	↓	This could enable community facilities to be generally planned for within Local Service Centres.	↓	This could enable community facilities to be strategically planned for to accommodate the level of growth.	↓	This may enable community facilities to be strategically planned for in the areas with a NP but not necessarily within the other Local Service Areas.	↓	
Objective 9	↓		↓		↓		↓	
Objective 10	↓		↓		↓		↓	
Objective 11	↓		↓		↓		↓	
Objective 12	↓		↓		↓		↓	
Objective 13	↓		↓		↓		↓	
Objective 14	↔		↔		↔		↓	
Objective 15	↔		↔		↔		↓	
Objective 16	↑		↑		↓		↓	
Objective 17	↓		↓		↓		↓	

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Objective 18	↑		↓		↓		↓	
Objective 19	↔		↔		↔		↔	
Conclusion: Continuing the current approach, Option A, of showing an overall figure for the amount of development across the Local Service Centres would continue to direct growth to the most sustainable locations, as would Option B, however, Option A allows for more flexibility. Specifying the amount of development in just those Local Service Centres with a Local Plan, may lead to more unsustainable development within the Local Service Centres without a Neighbourhood Plan. Option D provides for another option which results in the majority of the scores being unknown and dependent on how the option is implemented.								

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Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 2: Do you agree with the spatial portrait, objectives and vision as set out in the Council's current development plan documents? • Yes • No					
	Spatial Portrait		Objectives		Vision	
	Effect	Comment	Effect	Comment	Effect	Comment
Objective 1	↑		↑		↑	
Objective 2	↑		↑		↑	
Objective 3	↑		↑		↑	
Objective 4	↑		↑		↓	The Vision does not refer to mineral safeguarding and sterilisation
Objective 5	↑		↑		↑	
Objective 6	↑		↑		↑	
Objective 7	↑		↑		↑	
Objective 8	↑		↑		↑	
Objective 9	↑		↑		↑	
Objective 10	↑		↑		↑	
Objective 11	↑		↑		↓	Vision refers to green infrastructure but not specifically to the increase in biodiversity
Objective 12	↑		↑		↓	Vision does not make direct reference to the natural environment
Objective 13	↓	Spatial Portrait does not refer to protection of natural resources but does mention agricultural land.	↓	Objectives do not specifically refer to protecting natural resources, including water air, soil.	↑	Vision makes reference of prudent use of resources but no specific reference to water, air and soil.
Objective 14	↑		↑		↑	
Objective 15	↑		↑	Does not specifically refer to reducing energy usage	↑	Vision refers to increasing the use of renewable energy but not reducing energy usage.
Objective 16	↓		↑		↑	
Objective 17	↓	Spatial Portrait does not refer to flood risk and impact.	↑	Objectives do not specifically refer to decreasing risk	↑	Vision refers to addressing the implications of flood risk but not directly reducing the risk of flooding.

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Initial Sustainability Appraisal – Appendix 4

Objective 18	↑		↑	Objectives do not refer to specifically reducing emissions of greenhouse gases.	↑	Spatial portrait refers to sustainable transport but not directly to reducing emissions of greenhouse gases.
Objective 19	↕	Spatial Vision does not refer to mineral restoration	↕	Objectives do not directly relate to the restoration of mineral land.	↕	Vision mentions minerals but not directly the restoration of mineral land.

Conclusion:

The Spatial Portrait provides a baseline for the Local plan Issues & Options, identifying social, economic and environmental characteristics of the Borough at present.

The information and statistics included in the Spatial Portrait are out of date and require updating. This will consequently have a knock on affect to the Vision & Objectives. At present it does not refer to the protection of natural resources, flood risk, nor mineral restoration and as such, these environmental parameters are scored as 'unknown'.

Whilst the Local Plan objectives generally accord with that of the SA, they do not directly refer to the protection of natural resources, reducing energy usage, decreasing flood risk, reducing greenhouse gases, nor the restoration of mineral land. As such these environmental parameters are scored as 'unknown'.

Whilst the Vision generally accords with the SA, it may require updating due to the identified required update of the Spatial Portrait. The Local Plan Vision does not specifically refer to mineral safeguarding and sterilisation; increase in biodiversity; the natural environment, reducing energy use, reducing the risk of flooding, reducing greenhouse gases, nor the restoration of mineral land. As such, these environmental parameters are scored as 'unknown'.

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 3: Do you agree with the proposed grouping of villages in the settlement hierarchy in terms of the services and facilities available in those villages?					
	Option A: To include villages in the groups as shown in the proposed settlement hierarchy in Option A?		Option B: To include villages in the groups as shown in the proposed settlement hierarchy in Option B?		Option C: To include particular villages in different groups to those showing in Option A and Option B	
	Effect	Comment	Effect	Comment	Effect	Comment
Objective 1	↑		↑		↓	
Objective 2	↑		↑		↓	
Objective 3	↓		↓		↓	
Objective 4	↔		↔		↔	
Objective 5	↑		↑		↓	
Objective 6	↓		↓		↓	
Objective 7	↓		↓		↓	
Objective 8	↑		↑		↓	
Objective 9	↓		↓		↓	
Objective 10	↓		↓		↓	
Objective 11	↓		↓		↓	
Objective 12	↓		↓		↓	
Objective 13	↓		↓		↓	
Objective 14	↔		↔		↔	
Objective 15	↔		↔		↔	
Objective 16	↑		↑		↓	
Objective 17:	↓		↓		↓	
Objective 18:	↓		↓		↓	
Objective 19:	↔		↔		↔	

Conclusion:

The Settlement Hierarchy provides a basis for establishing the most sustainable locations of growth, and as such, none of the options move away from the achievement of the sustainability objectives.

The Local Service Centres are the larger villages with a range of facilities and access to transport. Option B includes 12 of the villages with the highest sustainability ratings to be included within the Local Service Centre categories, which may result to more development being distributed to those settlements. This could lead to a more sustainable dispersal of development amongst a higher number of villages. However, it could also lead to a higher level of development being directed to villages which are not as sustainable as those in the category with a larger range of facilities and better access to transport. Both Options are consequently scored equally.

Option C provides for another option which results in the majority of the scores being unknown and dependent on how the option is implemented.

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 4					
	How much new housing should the Local Plan Review provide for over the next 21 years 2015 - 2036					
	Option A: Provide for the level of growth indicated in the SHMA (average of 173 dwellings per year)		Option B: Provide for a higher level of growth than identified in the SHMA Update?		Option C: Provide for a lower level of growth than identified in the SHMA Update?	
	Effect	Comment	Effect	Comment	Effect	Comment
Objective 1	↑		↑		↑	
Objective 2	↑		↑		↑	
Objective 3	↑		↑		↑	
Objective 4	↔		↔		↔	
Objective 5	↑	Providing for the level of growth indicated in the SHMA could result in moving towards achieving a housing stock which meets the needs of Rutland.	↑		↓	A lower level of growth could result in moving away from achieving a housing stock which meets the needs of Rutland.
Objective 6	↑		↑		↑	
Objective 7	↑		↑		↑	
Objective 8	↑		↑		↑	
Objective 9	↑		↑		↑	
Objective 10	↑		↑		↑	
Objective 11	↑		↑		↑	
Objective 12	↑		↑		↑	
Objective 13	↑		↑		↑	
Objective 14	↑		↑		↑	
Objective 15	↑		↑		↑	
Objective 16	↑		↑		↑	
Objective 17	↑		↑		↑	
Objective 18	↑		↑		↑	
Objective 19	↔		↔		↔	
<p>Conclusion:</p> <p>Whilst Option A & B could both lead to meeting Rutland’s housing needs, providing for a lower level of growth could result in moving away from the social sustainability objective of achieving a housing stock which meets the needs of Rutland.</p>						

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 5			
	Do you consider that any additional sites for employment, retail or other types of development should be allocated in the Local Plan Review?			
	Yes		No	
	Effect	Comment	Effect	Comment
Objective 1	↑	Option would move towards sustainability objective, if additional employment land was required.	↓	
Objective 2	↑	Option would move towards sustainability objective, if additional employment land was required.	↓	
Objective 3	↑	Option would move towards sustainability objective, if additional employment land was required.	↓	
Objective 4	↕		↔	
Objective 5	↕		↔	
Objective 6	↕		↔	
Objective 7	↕		↔	
Objective 8	↕		↔	
Objective 9	↕		↔	
Objective 10	↕		↔	
Objective 11	↕		↔	
Objective 12	↕		↔	
Objective 13	↕		↔	
Objective 14	↕		↔	
Objective 15	↕		↔	
Objective 16	↕		↔	
Objective 17	↕		↔	
Objective 18	↕		↔	
Objective 19	↔		↔	
<p>Conclusion:</p> <p>Allocating additional employment, retail and other sites move towards the economic objectives, if such additional land was required, but how this would affect the environmental and social objectives would depend on how the option was implemented. Not allocating additional sites results in a score of unknown for the economic objectives; and neutral for the social and environmental parameters.</p>				

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 6					
	How should the future mix of new housing in Rutland be planned?					
	Option A1: Specify in detail the mix of dwellings types, sizes and tenures (including specialist provision) across Rutland and to specify a requirement for affordable housing		Option B1: Specify in broad terms the mix of dwellings types, across Rutland and to specify a requirement for affordable housing		Option C1: Do not specify of the mix of dwellings types, sizes and tenures allowing the market to decide but to specify a requirement for affordable housing.	
	Effect	Comment	Effect	Comment	Effect	Comment
Objective 1	↔		↔		↔	
Objective 2	↔		↔		↔	
Objective 3	↔		↔		↔	
Objective 4	↔		↔		↔	
Objective 5	↑	Ensures an appropriate mix of housing to meet Rutland's needs	↓	Could ensure an appropriate mix of housing to meet Rutland's needs	↓	Allows for flexibility but could potentially lead to a stock that does not meet Rutland's needs.
Objective 6	↔		↔		↔	
Objective 7	↔		↔		↔	
Objective 8	↔		↔		↔	
Objective 9	↔		↔		↔	
Objective 10	↔		↔		↔	
Objective 11	↔		↔		↔	
Objective 12	↔		↔		↔	
Objective 13	↔		↔		↔	
Objective 14	↔		↔		↔	
Objective 15	↔		↔		↔	
Objective 16	↔		↔		↔	
Objective 17	↔		↔		↔	
Objective 18:	↔		↔		↔	
Objective 19:	↔		↔		↔	
<p>Conclusion:</p> <p>Option A1, specifying in detail the mix of dwellings types, sizes and tenures (including specialist provision) across Rutland including a requirement for affordable housing could ensure that the housing stock will meet Rutland's needs, and consequently scores positively with regard to Objective 5, achieving a housing stock which meets the needs of Rutland. Option B1 aims to specify in broad terms the mix of dwelling types. Whilst this could lead to flexibility in how the housing stock is delivered over time, it could also move away from the sustainability objective and as such, Objective 5 has been scored as unknown. Not specifying housing mix (Option C1), again allows for flexibility, taking account of the market, but has been scored as potentially moving away from Objective 5 as it could lead to a stock that does not meet Rutland's needs.</p>						

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 7							
	Do you agree that the distribution of growth between the towns and villages in Rutland should							
	Option A: Maintain the current apportionment of new development between the towns and villages?		Option B: provide for a higher proportion of growth at Oakham?		Option C: provide for a higher proportion of growth at Uppingham?		Option D: provide for higher level of growth at the Local Service Centres?	
	Effect	Comment	Effect	Comment	Effect	Comment	Effect	Comment
Objective 1	↓		↓		↓		↓	
Objective 2	↔		↔		↔		↔	
Objective 3	↔		↔		↔		↔	
Objective 4	↔		↔		↔		↔	
Objective 5	↑		↑		↓		↓	
Objective 6	↔		↔		↔		↔	
Objective 7	↔		↔		↔		↔	
Objective 8	↔		↔		↔		↔	
Objective 9	↔		↔		↔		↔	
Objective 10	↓		↓		↓		↓	
Objective 11	↓		↓		↓		↓	
Objective 12	↓		↓		↓		↓	
Objective 13	↔		↔		↔		↔	
Objective 14	↔		↔		↔		↔	
Objective 15	↔		↔		↔		↔	
Objective 16	↔		↔		↔		↔	
Objective 17	↓		↓		↓		↓	
Objective 18	↔		↔		↔		↔	
Objective 19	↔		↔		↔		↔	
Conclusion:								
The current apportionment of housing does generally move towards the achievement of objectives, where relevant. However, Option D does not score favourably due to the two larger towns proving to be more sustainable locations than the Local Service Centres.								

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 8					
	Do you agree that the distribution of new development between Oakham and Uppingham should?					
	Option A: Maintain the current apportionment of new development between Oakham & Uppingham		Option B: Provide for higher growth at Oakham		Option C: Provide for higher growth at Uppingham	
	Effect	Comment	Effect	Comment	Effect	Comment
Objective 1	↑		↓		↓	
Objective 2	↔		↔		↔	
Objective 3	↓		↓		↓	
Objective 4	↔		↔		↔	
Objective 5	↑		↓		↓	
Objective 6	↔		↔		↔	
Objective 7	↔		↔		↔	
Objective 8	↔		↔		↔	
Objective 9	↔		↔		↔	
Objective 10	↓		↓		↓	
Objective 11	↓		↓		↓	
Objective 12	↔		↔		↔	
Objective 13	↔		↔		↔	
Objective 14	↔		↔		↔	
Objective 15	↔		↔		↔	
Objective 16	↔		↔		↔	
Objective 17	↓		↓		↓	
Objective 18	↔		↔		↔	
Objective 19	↔		↔		↔	

Conclusion:

Options A scores well for sustainability. Whilst Oakham is considered the Main Town which can support a higher level of growth, Uppingham as the smaller town is also able to accommodate growth and as such, current apportionment of new growth between Oakham and Uppingham (Option A) scores well. Options B & C score less favourably as Uppingham. Whilst Oakham would be able to sustainably accommodate a higher level of growth, apportionment between Oakham and Uppingham, as the smaller town, would be the more sustainable option.

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 9: Which are the most suitable directions for growth in and around Oakham (please select as many as apply)															
	Op.1: Previously developed land and buildings within the built-up area of the town		Op. 2: South-east of Oakham (between the bypass & the railway)		Op 3: South of Oakham (between the railway and Brooke Road)		Opt. 4 South of Oakham (between) Brooke Road and Cold Overton Road)		Opt. 5 West of Oakham (between Cold Overton Road & Barleythorp e Road)		Op: 6 North of Oakham (between Melton Road and the railway, outside the bypass)		Opt 7: North east of Oakham (between the railway and Burley Road, outside the bypass)		Opt 8 East of Oakham (between Burley Road and Stamford Road, outside the bypass)	
	E	C	E	C	E	C	E	C	E	C	E	C	E	C	E	C
Objective 1	↑		↑		↑		↑		↑		↑		↑		↑	
Objective 2	↑		↑		↑		↑		↑		↑		↑		↑	
Objective 3	↑		↑		↑		↑		↑		↑		↑		↑	
Objective 4	↔		↔		↔		↔		↔		↔		↔		↔	
Objective 5	↑		↑		↑		↑		↑		↑		↑		↑	
Objective 6	↑		↑		↑		↑		↑		↑		↑		↑	
Objective 7	↔		↔		↔		↔		↔		↔		↔		↔	
Objective 8	↑		↑		↑		↑		↑		↑		↑		↑	
Objective 9	↔		↔		↔		↔		↔		↔		↔		↔	
Objective 10	↑		↑		↑		↑		↑		↑		↑		↑	
Objective 11	↑		↑		↑		↑		↑		↑		↑		↑	
Objective 12	↑		↑		↑		↑		↑		↑		↑		↑	
Objective 13	↑	Located within built up area.	↑		↑		↑		↑		↑		↑		↑	
Objective 14	↔		↔		↔		↔		↔		↔		↔		↔	
Objective 15	↔		↔		↔		↔		↔		↔		↔		↔	
Objective 16	↑		↑		↑		↑		↑		↑		↑		↑	
Objective 17	↑		↑		↑		↑		↑		↑		↑		↑	
Objective 18	↑		↑		↑		↑		↑		↑		↑		↑	
Objective 19	↔		↔		↔		↔		↔		↔		↔		↔	

Conclusion: Previously developed land and buildings within the built up area of the town scores well, moving towards sustainability with regard to the use of natural resources. Due to the rest of the proposed locations being in and around the town, they score equally with regards to sustainability.

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 10: Should future growth at Uppingham continue to be focused on allocated sites to the north and west of the town?			
	Yes		No	
	Effect	Comment	Effect	Comment
Objective 1	↑		↑↓	
Objective 2	↑↓		↑↓	
Objective 3	↑	Option potentially moving towards achieving a housing stock that meets Rutland's needs.	↑↓	
Objective 4	↔		↑↓	
Objective 5	↑		↑↓	
Objective 6	↑↓		↑↓	
Objective 7	↑↓		↑↓	
Objective 8	↑↓		↑↓	
Objective 9	↑↓		↑↓	
Objective 10	↑↓		↑↓	
Objective 11	↑↓		↑↓	
Objective 12	↑↓		↑↓	
Objective 13	↑↓		↑↓	
Objective 14	↑↓		↑↓	
Objective 15	↑↓		↑↓	
Objective 16	↑↓		↑↓	
Objective 17	↑↓		↑↓	
Objective 18	↑↓		↑↓	
Objective 19	↔		↑↓	
<p>Conclusion:</p> <p>Continuing to focus growth on allocated sites to the north and west of town scores favourable with regard to achieving a housing stock to meet Rutland's needs. It also has the potential to deliver high quality employment opportunities and infrastructure. How other options score would be dependent on the option and how they were implemented.</p>				

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Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 11			
	Do you agree with the proposed approach to providing for a steady and adequate supply of minerals by:			
	Option A: Identify the provision to be made for minerals proposed above.		Option B: Identify the provision to be made for minerals through another method.	
	Effect	Comment	Effect	Comment
Objective 1	↔		↔	
Objective 2	↔		↔	
Objective 3	↔		↔	
Objective 4	↑		↓	
Objective 5	↔		↔	
Objective 6	↔		↔	
Objective 7	↔		↔	
Objective 8	↔		↔	
Objective 9	↔		↔	
Objective 10	↔		↔	
Objective 11	↓		↓	
Objective 12	↓		↓	
Objective 13	↓		↓	
Objective 14	↔		↔	
Objective 15	↔		↔	
Objective 16	↔		↔	
Objective 17	↔		↔	
Objective 18	↔		↔	
Objective 19	↓		↓	
<p>Conclusion:</p> <p>Option A, identifying the provision to be made for the proposed minerals, scores well against sustainability objective 4, facilitating delivery and safeguarding mineral resources. Assessment against objective 19, positive restoration will depend on how the policy is implemented. Option B is assessed as unknown, as it would depend on the other method identified.</p>				

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 12			
	Do you agree with the proposed approach that would see the current spatial strategy and locational elements taken forward into the Local Plan Review (including the designated areas for future minerals extraction and area of search); the development criteria being combined into fewer policies and refining these to also address minerals specific planning requirements (where appropriate); and continuing with the approach of not including site-specific allocations.			
	Option A: Include the spatial strategy and locational elements as proposed above.		Option B: Alter the currently adopted spatial strategy and locational elements to be taken forward into the emerging plan.	
	Effect	Comment	Effect	Comment
Objective 1	↔		↔	
Objective 2	↔		↔	
Objective 3	↔		↔	
Objective 4	↑		↑	
Objective 5	↔		↔	
Objective 6	↔		↔	
Objective 7	↔		↔	
Objective 8	↔		↔	
Objective 9	↔		↔	
Objective 10	↑		↔	
Objective 11	↑		↕	
Objective 12	↑		↕	
Objective 13	↑		↕	
Objective 14	↔		↔	
Objective 15	↔		↔	
Objective 16	↑		↔	
Objective 17	↑		↔	
Objective 18	↔		↔	
Objective 19	↑		↕	

Conclusion:

Option A scores generally scores well against the sustainability objectives, this is because the spatial strategy does not itself allocate sites for development and as such is neutral regarding many of the sustainability objectives. In addition the development criteria looks to avoid and/or minimise potentially adverse impacts associated with minerals-related development and supports restoration.

As the impact of Option B is largely unknown, and as such most of the effects would be unknown. The effects of Option B would be subject to its future development/implementation.

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 13: Do you consider that any additional sites for mineral extraction and aggregate production need to be allocated to ensure a steady and adequate supply of aggregates.			
	Option A: Yes		Option B: No	
	Effect	Comment	Effect	Comment
Objective 1	↔		↔	
Objective 2	↔		↔	
Objective 3	↔		↔	
Objective 4	↑		↔	
Objective 5	↔		↔	
Objective 6	↔		↔	
Objective 7	↔		↔	
Objective 8	↔		↔	
Objective 9	↔		↔	
Objective 10	↔		↔	
Objective 11	↓		↓	
Objective 12	↓		↓	
Objective 13	↓		↓	
Objective 14	↔		↔	
Objective 15	↔		↔	
Objective 16	↔		↔	
Objective 17	↔		↔	
Objective 18	↔		↔	
Objective 19	↓		↓	
<p>Conclusion:</p> <p>Option A scores well against sustainability objective 12, as the identification of potential sites for building and roofing stone would support local distinctiveness.</p> <p>No additional potential sites for mineral extraction for allocation through the Local Plan Review have yet been identified at this stage of the plan-making process.</p>				

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 14					
	Do you agree with the proposed approach to be taken to safeguarding of mineral resources and related development that would see the Minerals Safeguarding Area (MSA) and planning requirements refined to address local circumstances (including identification of building stone resources) and align with national policy guidance.					
	Option A: Continue with current approach		Option B: The current MSA and planning requirements for development proposals within the MSA should be refined as proposed above.		Option C: Alter the current approach to the MSA using the different method	
	Effect	Comment	Effect	Comment	Effect	Comment
Objective 1	↓		↔		↔	
Objective 2	↔		↔		↔	
Objective 3	↔		↔		↔	
Objective 4	↑		↑		↓	
Objective 5	↔		↔		↔	
Objective 6	↔		↔		↔	
Objective 7	↔		↔		↔	
Objective 8	↔		↔		↔	
Objective 9	↔		↔		↔	
Objective 10	↓		↔		↔	
Objective 11	↓		↓		↓	
Objective 12	↔		↑		↓	
Objective 13	↔		↓		↓	
Objective 14	↔		↔		↔	
Objective 15	↔		↔		↔	
Objective 16	↔		↔		↔	
Objective 17	↔		↔		↔	
Objective 18	↔		↔		↔	
Objective 19	↓		↓		↓	

Conclusion:

Option A and B generally score well against sustainability objectives however Option B scores higher regarding sustainability objective 12 in particular as the inclusion of building stone resources in the currently adopted MSAs would support local distinctiveness. The effects of Option C are largely unknown and would be subject to the options further development and implementation where applicable.

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 15: Do you agree with the proposed approach to identifying waste arisings and indicative waste management and disposal capacity requirements detailed in the Local Waste Management Needs Assessment 2015?			
	Option A: Identify the indicative capacity requirements for waste management and disposal as proposed.		Option B: Identify the indicative capacity requirements for waste management and disposal through another method.	
	Effect	Comment	Effect	Comment
Objective 1	↔		↔	
Objective 2	↔		↔	
Objective 3	↔		↔	
Objective 4	↔		↔	
Objective 5	↔		↔	
Objective 6	↔		↔	
Objective 7	↔		↔	
Objective 8	↔		↔	
Objective 9	↔		↔	
Objective 10	↔		↔	
Objective 11	↔		↔	
Objective 12	↔		↔	
Objective 13	↔		↔	
Objective 14	↑		↓	
Objective 15	↔		↔	
Objective 16	↔		↔	
Objective 17	↔		↔	
Objective 18	↑		↔	
Objective 19	↔		↔	
Conclusion: Option A scores well against sustainability objectives 14 and 18 as it seeks to push waste up the waste management hierarchy the effects of which would be a decrease in waste sent to landfill and associated production of greenhouse gas emissions.				

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 16: Do you agree that a new policy addressing LLW management and disposal outlining local planning requirements should be prepared for inclusion in the Local Plan?			
	Yes		No	
	Effect	Comment	Effect	Comment
Objective 1	↔		↔	
Objective 2	↔		↔	
Objective 3	↔		↔	
Objective 4	↔		↔	
Objective 5	↔		↔	
Objective 6	↔		↔	
Objective 7	↔		↔	
Objective 8	↔		↔	
Objective 9	↔		↔	
Objective 10	↔		↔	
Objective 11	↔		↔	
Objective 12	↔		↔	
Objective 13	↔		↔	
Objective 14	↑		↓	
Objective 15	↔		↔	
Objective 16	↔		↔	
Objective 17	↔		↔	
Objective 18	↔		↔	
Objective 19	↔		↔	
<p>Conclusion: Option A scores well against sustainability objective 14 as it would give greater guidance regarding management of LLW and allows for local circumstance, and sustainability issues, to be taken into consideration.</p>				

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 17			
	Do you agree with the proposed approach to be taken to the spatial strategy and locational elements of the Local Plan regarding waste management and disposal which would see the current spatial strategy taken forward into the emerging Local Plan; the development criteria refined to reflect national policy and guidance where necessary and continuing with the approach of not including site-specific allocations for large scale advanced treatments facilities or inert disposal not associated with restoration of quarries.			
	Option A: Include the spatial strategy and locational elements as proposed above.		Option B: Alter the currently adopted spatial strategy and locational elements to be taken forward into the emerging plan.	
	Effect	Comment	Effect	Comment
Objective 1	↔		↔	
Objective 2	↔		↔	
Objective 3	↑		↔	
Objective 4	↔		↔	
Objective 5	↔		↔	
Objective 6	↔		↔	
Objective 7	↔		↔	
Objective 8	↔		↔	
Objective 9	↔		↔	
Objective 10	↑			
Objective 11	↑			
Objective 12	↑			
Objective 13	↑		↔	
Objective 14	↑		↕	
Objective 15	↔		↔	
Objective 16	↑		↔	
Objective 17	↑		↔	
Objective 18	↑			
Objective 19	↑			
Conclusion: Option A scores generally scores well against the sustainability objectives, this is because the spatial strategy does not itself allocate sites for development and as such is neutral regarding many of the sustainability objectives. In addition the development criteria looks to avoid and/or minimise potentially adverse impacts associated with waste-related development and supports restoration of quarries by directing the disposal of inert wastes to these sites.				

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 18: Do you consider that any additional sites for waste management use (in particular small scale facilities such as materials recycling facility, composting, anaerobic digestion, inert recycling/progressing or other suitable processes) will be required to facilitate delivery of the indicative waste management capacity requirements over the plan period.			
	Option A: Yes, additional sites will be required. If yes please state what additional sites will be required giving reasons and site-specific information (including land owner contact details)		Option B: No, existing allocations and enabling policies are sufficient to allow sites to come forward over the plan period.	
	Effect	Comment	Effect	Comment
Objective 1	↔		↔	
Objective 2	↔		↔	
Objective 3	↔		↔	
Objective 4	↔		↔	
Objective 5	↔		↔	
Objective 6	↔		↔	
Objective 7	↔		↔	
Objective 8	↔		↔	
Objective 9	↔		↔	
Objective 10	↔		↔	
Objective 11	↔		↔	
Objective 12	↔		↔	
Objective 13	↔		↔	
Objective 14	↑		↑	
Objective 15	↔		↔	
Objective 16	↔		↔	
Objective 17	↔		↔	
Objective 18	↑		↑	
Objective 19	↔		↔	
Conclusion: Both options score well with regard to sustainability objectives.				

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

Sustainability Appraisal Objective	Question 19			
	Is there any additional infrastructure that will be required to support the new development in Rutland that will be required in the period to 2036?			
	Option A: Yes		Option B: No	
	Effect	Comment	Effect	Comment
Objective 1	↔		↔	
Objective 2	↔		↔	
Objective 3	↑		↓	
Objective 4	↔		↔	
Objective 5	↔		↔	
Objective 6	↔		↔	
Objective 7	↔		↔	
Objective 8	↔		↔	
Objective 9	↔		↔	
Objective 10	↔		↔	
Objective 11	↔		↔	
Objective 12	↔		↔	
Objective 13	↔		↔	
Objective 14	↔		↔	
Objective 15	↔		↔	
Objective 16	↔		↔	
Objective 17	↔		↔	
Objective 18	↔		↔	
Objective 19	↔		↔	
Conclusion: Option A scores favourably against the relevant objective. Not providing infrastructure as proposed in option B would not be the most sustainable option.				

Local Plan Review

Initial Sustainability Appraisal – Appendix 4

KEY	
↑	Option potentially moving towards achievement of Sustainability Appraisal objective
↓	Option potentially moving away from achievement of Sustainability Appraisal objective
↔	Neutral: no relationship with Sustainability Appraisal objective
↕	Unknown: depends on how option will be implemented